



**SHARE INDIA ALGOPLUS PRIVATE LIMITED**

***POLICY ON CLIENT CODE  
MODIFICATION***

<b>Effective Date:</b> 24 <sup>th</sup> June, 2022
<b>Approval Date:</b> 24 <sup>th</sup> June, 2022
<b>Version:</b> 1.0
<b>Approved By:</b> Board of Directors

### **Internal Policy w.r.t. Post-trade Client Code Modifications**

The Company shall not carry out any Post-trade Client Code Modification in its Back-Office Software. Further, the guidelines with regard to online Client Codes Modifications shall be as under:

The client code modification facility shall be provided to the Corporate Manager only. Further, the facility extended by NSE/BSE/MCX for the purpose of Post-trade Client Code Modifications shall be used in following cases only:

- Error due to communication and/or punching or typing such that the original client code/name and the modified client code/name are similar to each other; and
- Modification within relatives ('Relative' for this purpose would mean "Relative" as defined under the Companies Act, 2013). A list of such relatives is given hereinafter for ready reference of the respective users.

In all such cases where client code modifications is necessitated due to any of the reasons mentioned herein above, the wrongly punched client code shall be modified to client code "Error". It shall be ensured that the positions arising out of trades in said "Error" account are subsequently liquidated/closed out in the market and not shifted to some other client code.

The post trade client code modification facility shall be used only after taking approval in this regard from the Compliance Officer of the Company. If a pattern in post trade client code modification/s is observed, then the same shall be immediately brought to the notice of Mr. Sachin Gupta, the Director of the Company.

This updated policy was taken note of and approved by Board of Directors of the Company in their meeting held on 24th June, 2022.

Sd/-

**For Share India AlgoPlus Private Limited  
(Formerly Known as Total Commodities India Pvt Ltd)**

**Director**